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## The Law Offices of Mikio Ishimaru

Intellectual Property Law  
Patents - Licensing - Strategy - & Related Matters  
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To: Mail Stop AMENDMENT From: Mikio Ishimaru  
Examiner David L Hogans

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Fax: (703) 872-9306 Pages: 10, including this page  
TC 2813 - Before Final

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Phone: (571) 272-1691 Date: September 28, 2004

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Re: U.S. Patent Application Serial CC:  
No. 10/612,554

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☒ Response / Amendment to Office Action ☐ Information ☐ Other

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For confirmation or assistance, call (408) 738-0592

Dear Examiner Hogans:

Attached are a Certificate of Transmission, Transmittal, and Amendment/Response to the Office Action dated June 28, 2004, for U.S. Patent Application Serial No. 10/612,554 (attorney docket no. D982.D1).

Respectfully submitted,



Mikio Ishimaru  
Reg. No. 27,449

PTO/SB/97 (08-03)

Approved for use through 7/31/2006. OMB 0651-0091

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Vickie Ishimaru  
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Vickie Ishimaru

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With reference to serial number 10/612,554, the following are  
being submitted:

Fax Cover Sheet  
Certificate of Transmission  
Transmittal  
Response/Amendment

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Docket No.: D982.D1

**PATENT**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Wenge Yang et al.

: Confirmation No.: 3624

Serial No.: 10/612,554

: Examiner: David L Hogans

Filed: 7/1/2003

: Group Art Unit: 2813

For:

METHOD FOR FORMING SAC  
USING A DIELECTRIC AS A BARC  
AND FICD ENLARGER

TRANSMITTAL FOR ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Response / Amendment	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> After Final	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Affidavits/Declaration(s)	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Information Disclosure Statement, PTO Form-1449, & cited Reference(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	• Certificate of Transmission
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Request for Refund	• Fax Cover Sheet
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

The fee, if required, has been calculated as shown below:

	NO. OF CLAIMS	HIGHEST PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	FEE
Total Claims	10	20	0	x \$18 =	\$ 0.00
Independent Claims	2	3	0	x \$86 =	\$ 0.00
If multiple claims newly presented, add \$290					
Fee for extension of time					
Other:					
TOTAL FEE					\$0.00

- ☐ Please charge Deposit Account No. **01-0365** in the amount of \$ **0.00**. An additional copy of this transmittal sheet is submitted herewith.
- ☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment, to Deposit Account No. **01-0365**, including any filing fees under 37 CFR 1.16 for presentation of extra claims and any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Mikio Ishimaru  
Registration No. 27,449  
Date: September 28, 2004

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Docket No.: D982.D1

SEP 28 2004

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Wenge Yang, et al. : Confirmation No.: 3624  
Serial No.: 10/612,554 : Art Unit: 2813  
Filed: 7/1/2003 : Examiner: David L Hogans  
For: METHOD FOR FORMING :  
SAC USING A  
DIELECTRIC AS A BARC  
AND FICD ENLARGER

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## AMENDMENT AND RESPONSE

## TO NON-FINAL OFFICE ACTION UNDER 37 CFR §1.111

Sir:

The following Amendment and Remarks are submitted under 37 CFR §1.111 in response to the Office Action mailed June 28, 2004.

The Amendment to the Cross-Section to Related Application(s) section begins on the next page and updates the status of the co-pending application 09/974,917. No new matter has been added.

Remarks follow on a separate page. Reconsideration of the rejections is respectfully requested.

Serial No.: 10/612,554

Group Art Unit: 2813

#### AMENDMENT

- Please amend the Cross-Reference to Related Application(s) section, which begins on page 1, line 3, as follows:

#### CROSS-REFERENCE TO RELATED APPLICATION(S)

This is a divisional of copending U.S. Patent Application 09/974,917 filed October 10, 2001, now abandoned, which claims priority from U.S. Provisional Patent Application 60/239,395 filed on October 10, 2000, which are incorporated herein by reference thereto.